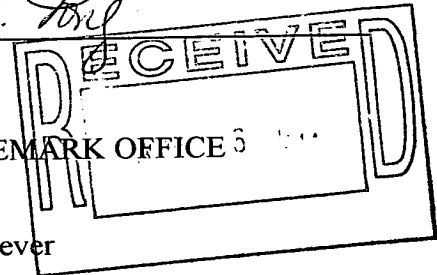


*Sector*  
PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231.

April 2, 1999  
Date

Angela S. Long  
Angela S. Long



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Alexander Gaiger and Martin A. Cheever  
Application No. : 09/164,223  
Filed : September 30, 1998  
For : COMPOSITIONS AND METHODS FOR WT1 SPECIFIC IMMUNOTHERAPY

Docket No. : 210121.465  
Date : April 2, 1999

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts dated November 3, 1998, please find enclosed a Declaration and Power of Attorney, Form PTO-1533, and two Verified Statements Claiming Small Entity Status for the above-identified application.

Also enclosed is a General Authorization under 37 C.F.R. § 1.136(a)(3).

Please find enclosed a Petition for an Extension of Time, extending the time for response from January 3, 1999 to April 3, 1999.

Also enclosed is a check in the amount of \$3,509 for the requisite fees. The fees have been calculated in accordance with applicants' small entity status.

The fees have been calculated as follows:

Basic Fee	\$ 380
Total Claims (185, 165 extra)	1,485
Independent Claims (29, 26 extra)	1,014
Multiple Dependent Claims Surcharge	130
Missing Parts Surcharge	65
Total	\$ 3,074
Extension-of-Time Fee	435
TOTAL	\$ 3,509

The Assistant Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this response is enclosed.

Respectfully submitted,

Alexander Gaiger and Martin A. Cheever

SEED and BERRY LLP

  
David J. Maki

Registration No. 31,392

DJM:asi

Enclosures:

- Postcard
- Check
- Copy of this Response
- Declaration and Power of Attorney
- Verified Statement Claiming Small Entity Status (2)
- Copy of Form PTO-1533
- General Authorization Under 37 C.F.R. § 1.136(a)(3)
- Petition for an Extension of Time (+ 2 copies)

6300 Columbia Center  
701 Fifth Avenue  
Seattle, Washington 98104-7092  
(206) 622-4900  
Fax: (206) 682-6031



UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/164,223    09/30/98    GAIGER    A    210121.465

DAVID J. MAKI  
 SEED AND BERRY  
 6300 COLUMBIA CENTER  
 701 FIFTH AVENUE  
 SEATTLE WA 98104-7092

NOT ASSIGNED  
 M. S. J. - S  
 J. S. 3, 494  
 1643

DATE MAILED: 11/03/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 668.

- ☒ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.
- Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☒ 2. Additional claim fees of \$ 5762, including any multiple dependent claim fees, are required.
- \$ 2132 for 26 independent claims over 3.
  - \$ 3630 for 15 dependent claims over 20.
  - \$ 270 for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
- ☒ is missing or unexecuted.
  - ☐ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date, is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
  - ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
  - ☐ 8. The application does not comply with the Sequence Rules.
- See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:
- Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/14/1999 RMAGAT 00000043 131090 0916433

01 FC:205	65.00	OP
02 FC:202	1066.00	OP
03 FC:203	1815.00	OP
04 FC:204	128.00	OP
05 FC:201	7.00	CH
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